

Certification and Assurance Form

Key Personnel Name: _____

Department: _____ Unit: _____

Title of Proposal: _____

Grant Program Funding Agency: _____

As the certifying entity, Eastern Washington University (EWU) is responsible for maintaining integrity in all aspects of research and scholarship, and for ensuring compliance to all applicable policies, regulations, and grant terms and conditions. The *Office of Grant and Research Development* is the primary point of contact and maintains oversight to ensure compliance with the requirements of all applicable laws and regulations. All project key personnel must complete this form. Key personnel are defined as the program director/**principal** investigator, as well as all other individuals who contribute to the scientific development or execution of a project in a substantive or measurable way, whether or not salary or compensation is requested.

As Key Personnel for the above stated project, I, _____
certify to the following:

- The information submitted within the application is true, complete and accurate to the best of my knowledge.
- I understand that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. [False Claims Act: 31 U.S.C. §3729 et sq.](#)
- I am not presently disbarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency. [Debarment and Suspension.](#)
- I agree to accept responsibility for the scientific and scholarly conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application.
- I agree to comply with the Institution's [Financial Conflict of Interest](#) (FCOI) policy and procedures including completion of the required FCOI training at the time of award and the University's [Outside Employment Policy](#).
- I agree to complete **all** training requirements as applicable including but not limited to *Responsible Conduct of Research* (RCR), *Human Subjects Research*, and/or *Institutional Animal Care and Use*, and participate in EWU endorsed training through the *Collaborative Institutional Training Initiative* (CITI) within 30 days of a funding award notification as indicated in the terms and conditions of the award documents.
- I agree to comply with the [Bayh-Dole Act of 1980 \(Public Law 96-517; 35 U.S.C. 200-212; Executive Order 12591; 37 CFR 401 et al.; 45 CFR 75 and 37 CFR 401.1\(b\)\)](#) regarding intellectual property, inventions and patents.
- I agree to review and comply with policy EWU Policy 901-01 [Ethical Standards](#) and [Chapter 42.52 RCW, Ethics in Public Service standards](#).

By checking each item, I certify that I have reviewed and understand each applicable policy or regulation.

Signature: _____ Date: _____

OR email to ogrd@ewu.edu

(click 'send' on the email that opens) OR return to the Office of Grant and Research Development in 210 Showalter Hall

CITI Training can be accessed at <https://www.citiprogram.org/index.cfm?pageID=86>

References:

U.S. Public Health Service (PHS) 42 CFR Part 93, 45 CFR Part 50; <https://www.law.cornell.edu/cfr/text/42/part-93>, <https://www.law.cornell.edu/cfr/text/45/part-50>
Eastern Washington University, Policy 302-05, Integrity in Research and Scholarship. <https://inside.ewu.edu/policies/knowledge-base/ewu-302-05-ethics-in-research/>